PUBLIC MEETING

LONG-TERM CARE WAIVER ENROLLMENT MANAGEMENT SYSTEM (EMS)

Presented by: Florida Department of Elder Affairs Staff
Introductions & Purpose

Purpose:

- Discuss changes to the management of the waitlist for Aged and Disabled Adult (ADA) and Assisted Living (AL) Waivers
- Discuss the development of a single, statewide waitlist for Statewide Medicaid Managed Care Long-Term Care (SMMCLTC) Program
- Receive input from stakeholders
  - Strategies for implementation
  - Discuss methods to ensure seniors and disabled adults will safely and seamlessly transition to a new waitlist method
This is the third of three meetings being conducted in September and October of 2012.

Our goal is to develop a policy framework by December 31, 2012.

In addition to public meetings, information will continue to be posted at http://elderaffairs.state.fl.us/doea/medicaid_waiver_pubmeet.php

Email your comments and sign up for email updates at medwaiver@elderaffairs.org
Directives & Authority

- **Historical Role**
  - DOEA is responsible for the overall management of waitlists for programs for seniors

- **New Directive for Medicaid Programs (Florida Statutes)**
  - “Eligibility – The Department of Elderly Affairs shall make offers for enrollment to eligible individuals (seniors and disabled adults) based on a wait-list prioritization and subject to availability of funds. Before enrollment offers, the Department shall determine that sufficient funds exist to support additional enrollment into plans.” (*Section 409.979(3), Florida Statutes*)
  - “Create and manage a statewide waitlist for [Medicaid] home and community-based services, including tracking and forecasting expenditures (through enrollments/disenrollments), nursing home transitions, and establishing attrition rates.” (Agreement with the Agency)
Why Do Waitlists Exist?

- Nursing facility services are a federally mandated benefit and the State of Florida may not limit appropriations for services for eligible recipients.

- Home and Community-Based Services (HCBS) are an optional benefit and the State may limit appropriations for services for eligible recipients.
HCBS Appropriations in Florida

- Nursing Facility vs. Community Services Costs and Enrollment

<table>
<thead>
<tr>
<th>Fiscal Year (FY)</th>
<th>Nursing Facility Expenditures</th>
<th>*Nursing Facility Unduplicated Recipient Count</th>
<th>**Community Services (HCBS)</th>
<th>Community Services (HCBS) Unduplicated Recipient Count</th>
</tr>
</thead>
<tbody>
<tr>
<td>FY 2000-2001</td>
<td>$1,693,767,364</td>
<td>77,662</td>
<td>$292,379,043</td>
<td>41,810</td>
</tr>
<tr>
<td>FY 2009-2010</td>
<td>$2,771,370,730</td>
<td>77,239</td>
<td>$744,278,435</td>
<td>77,894</td>
</tr>
</tbody>
</table>

*Nursing facility recipient count includes dual eligibles for whom Medicaid pays $0. Excluding those duals results in an unduplicated recipient count of 68,722 for whom Medicaid makes expenditures.

**Services included in the ‘community services’ expenditures are: Assistive Care Services, Home Health, Home and Community Based Services waivers, Nursing Home Diversion, and the Program for the All-Inclusive Care for the Elderly.
Streamlining and Combining State Waivers

In 2010, the following HCBS Medicaid waivers were operational in Florida:

- Adult Cystic Fibrosis
- Adult Day Health Care
- Aged and Disabled Adult (ADA)
- Alzheimer's Disease
- Assisted Living for the Elderly (AL)
- Channeling
- Developmental Disabilities (Tiers 1, 2, 3)
- Familial Dysautonomia
- Family Supported Living (DD Tier 4)
- Model
- Nursing Home Diversion (NHD)
- Traumatic Brain and Spinal Cord Injury
## Medicaid Waiver Enrollment (DOEA & DCF)

<table>
<thead>
<tr>
<th>Program</th>
<th>Responsible State Party - WL</th>
<th>Current Enrollment (September 2012)</th>
</tr>
</thead>
<tbody>
<tr>
<td>ADA &lt;60</td>
<td>DCF</td>
<td>1,886</td>
</tr>
<tr>
<td>ADA &gt;60</td>
<td>DOEA</td>
<td>9,157</td>
</tr>
<tr>
<td>AL &gt;60</td>
<td>DOEA</td>
<td>3,490</td>
</tr>
<tr>
<td>Channeling</td>
<td>DOEA</td>
<td>1,250</td>
</tr>
<tr>
<td>NHD</td>
<td>DOEA</td>
<td>20,462</td>
</tr>
<tr>
<td>Nursing Home</td>
<td>N/A</td>
<td>49,489</td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td>85,734</td>
</tr>
</tbody>
</table>
Legislative Streamlining of State Waivers – Waitlist Impact

- Phase out pilot programs
  - April 2010: Alzheimer’s waiver allowed to expire and individuals transferred to other waivers providing similar services
  - March 2012: Adult Day Health Care waiver allowed to expire and individuals transferred to other waivers providing similar services

- Consolidate similar waivers
  - By April 2014: Fully implement LTC managed care program and consolidate the following programs into the Statewide Medicaid Managed Long Term Care Program:
    - Aged and Disabled Adult
    - Assisted Living Waiver
    - Channeling Services for Frail Elders Waiver
    - Frail Elder Option
    - Nursing Home Diversion Waiver
# Current Medicaid Waiver Waitlists (DOEA & DCF)

<table>
<thead>
<tr>
<th>Program</th>
<th>Waitlist</th>
<th>Waitlist Managed By</th>
</tr>
</thead>
<tbody>
<tr>
<td>ADA &lt;60</td>
<td>4,168</td>
<td>DCF</td>
</tr>
<tr>
<td>ADA &gt;60</td>
<td>19,230</td>
<td>DOEA-ADRC</td>
</tr>
<tr>
<td>AL &gt;60</td>
<td>2,487</td>
<td>DOEA-ADRC</td>
</tr>
<tr>
<td>Channeling</td>
<td>547</td>
<td>Provider</td>
</tr>
<tr>
<td>NHD</td>
<td>8,127</td>
<td>DOEA-CARES</td>
</tr>
<tr>
<td>Total</td>
<td>34,559</td>
<td></td>
</tr>
</tbody>
</table>

Last Updated September 2012
The Department of Children and Families (DCF) manages a waitlist for the Aged and Disabled Adult (ADA) Waiver and a waitlist for the Assisted Living (AL) Waiver:
- 18 to 59 years of age - disabled adult population
- DCF Human Services counselors conduct client assessments for waitlist
- DCF counselors and DCF Medicaid Waiver Specialists from each Planning and Service Area (PSA) choice counsel individuals on available programs

Based on available funding, clients are released from the waitlist to DCF contracted case management agencies providing services via DCF referrals.
Current Role and Process - Aging and Disability Resource Centers

- DOEA delegates functions to the Aging and Disability Resource Centers (ADRC) per contracts with AAAs
- DOEA provides local spending authority
- ADRCs manage a waitlist for the ADA Waiver and a waitlist for the AL Waiver - 60 years of age and older
- Medicaid Administrative Claiming (MAC) staff conduct 701A assessments
- ADRC choice counsels senior on available programs and places individual on ADA/AL waitlist(s)*
- Based on local budget allocation ceilings, seniors are released to case management agencies to receive services via ADRC referrals, then 701B functional needs assessment is completed

*If the senior chooses the Nursing Home Diversion (NHD) Program, the individual is referred to Comprehensive Assessment and Review for Long-Term Care Services (CARES)
Current Role and Process - CARES

- DOEA manages waitlist releases for NHD based on available statewide legislative appropriations
- 65 years of age or older and eligible for Medicaid and Medicare
- When NHD is requested, CARES staff members conduct 701A assessments and perform options counseling and/or 701B assessments
  - If the senior chooses ADA or AL, CARES transfers the individual to the ADRC to conduct another 701A assessment
  - If the senior chooses NHD, CARES places the individual on the statewide waitlist managed by DOEA
- Based on available funding, clients are released from EMS, the 701B functional assessment is completed, the senior is choice counseled to pick a managed care organization (MCO), and they are referred to the MCO for enrollment and services
Current Role and Process – Channeling Waiver Provider

- DOEA delegates functions to the Channeling provider
- The Channeling Waiver Provider manages the waitlist based on legislatively appropriated spending authority
  - 65 years of age and older in Miami-Dade and Broward counties
- Eligible seniors are released from the waitlist according to a “first come, first serve” basis and the availability of funding
- When funding is available, the Channeling provider refers seniors to the case management agency for services
- If an elder is not qualified by age, the Channeling provider may refer to PACE, the local ADRC, CARES, or other Medicaid programs
Research Findings - Other States

- In 2009, 39 states reported waitlists and 10 states reported no waitlists.
- Examples of three states that use three different procedures for implementing the Medicaid waiver waitlists for seniors are: Iowa, Pennsylvania, and Tennessee.

## Quick Comparison of States

<table>
<thead>
<tr>
<th></th>
<th>Florida (ADA/AL)</th>
<th>Iowa (Four of Five Waivers)</th>
<th>Pennsylvania (Aging Waiver)</th>
<th>Tennessee (CHOICES)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Waitlist Managed by</strong></td>
<td>Regional AAA Offices</td>
<td>Dept. Of Human Services</td>
<td>Office of Long-Term Living</td>
<td>TennCare CHOICES staff</td>
</tr>
<tr>
<td><strong>Level of Care Assessment</strong></td>
<td>701A for waitlist; Level of Care once released</td>
<td>Level of Care once released</td>
<td>Level of Care when placed on waitlist</td>
<td>Level of Care when placed on waitlist</td>
</tr>
<tr>
<td><strong>Financial Eligibility</strong></td>
<td>Not required until released</td>
<td>Not required until released</td>
<td>Not required until released</td>
<td>Not required until released</td>
</tr>
<tr>
<td><strong>Release Methodology</strong></td>
<td>Rank &amp; Priority score</td>
<td>Application date</td>
<td>Priority rank then application date</td>
<td>Application date then need for services</td>
</tr>
</tbody>
</table>
Expected Changes - Long-Term Care EMS

- ADRC will be the single point of entry
- CARES will no longer conduct 701As or options/choice counseling
- Will ensure that the most frail are served, using current prioritization methodology
- Will streamline program enrollment for seniors and disabled adults
  - One Medicaid waitlist versus multiple waiver program waitlists
- Central management of legislative appropriations for Medicaid HCBS
Contact Us

- Please visit the Public Meeting website at:
  
  http://elderaffairs.state.fl.us/doea/medicaid_waiver_pubmeet.php

- Please submit any additional comments to:
  
  medwaiver@elderaffairs.org